

[FULL NAME]

[Phone Number] | [Email Address] | [LinkedIn Profile URL] | [City, State]

[Date]

[Hiring Manager Name]
[Title, e.g., Chief Executive Officer or Head of Legal]
[Fintech Company Name]
[Company Address]

Dear [Mr./Ms./Mx. Last Name],

As a seasoned compliance professional with [Number] years of experience navigating the intersection of financial regulation and technological innovation, I am writing to express my interest in the [Job Title, e.g., Head of Compliance or Chief Compliance Officer] position at [Company Name]. Having followed [Company Name]'s recent [mention a specific achievement, e.g., Series B funding or expansion into European markets], I am eager to bring my expertise in building scalable, automated compliance frameworks to your leadership team.

Throughout my career, I have specialized in transforming compliance from a cost center into a strategic advantage. At [Previous Company], I led the development of a [Type of program, e.g., AML/KYC or Regulatory Perimeter] framework that supported a [Percentage]% growth in transaction volume while maintaining zero critical findings during [Regulator Name, e.g., FINRA or FCA] audits. I am particularly adept at integrating RegTech solutions to streamline [specific process, e.g., transaction monitoring or customer onboarding], ensuring that compliance velocity matches product innovation.

My leadership approach focuses on fostering a "culture of compliance" across cross-functional teams, including Engineering, Product, and Operations. I have successfully navigated complex regulatory landscapes including [List 3-4 specific regulations, e.g., PSD2, GDPR, BSA/AML, or SOC2] and have a proven track record of managing relationships with domestic and international regulatory bodies. I am confident that my ability to interpret gray-area regulations into actionable product requirements will be an asset to [Company Name].

I am impressed by [Company Name]'s commitment to [mention a company value or specific product feature] and would welcome the opportunity to discuss how my background in risk mitigation and regulatory strategy can support your mission. Thank you for your time and consideration.

Sincerely,

[Full Name]

[Professional Certification, e.g., CAMS or CRCM]